# ASEAN Corporate Governance Scorecard

**PT Bank Permata Tbk**

## Appendix

### LEVEL 1

<table>
<thead>
<tr>
<th>D.</th>
<th>Disclosure and Transparency</th>
</tr>
</thead>
<tbody>
<tr>
<td>D.1</td>
<td>Transparent ownership structure</td>
</tr>
<tr>
<td>D.1.1</td>
<td>Does the information on shareholdings reveal the identity of beneficial owners, holding 5% shareholding or more?</td>
</tr>
<tr>
<td>D.1.2</td>
<td>Does the company disclose the direct and indirect (deemed) shareholdings of major and/or substantial shareholders?</td>
</tr>
<tr>
<td>D.1.3</td>
<td>Does the company disclose the direct and indirect (deemed) shareholdings of directors (commissioners)?</td>
</tr>
<tr>
<td>D.1.4</td>
<td>Does the company disclose the direct and indirect (deemed) shareholdings of senior management?</td>
</tr>
<tr>
<td>D.1.5</td>
<td>Does the company disclose details of the parent/holding company, subsidiaries, associates, joint ventures and special purpose enterprises/ vehicles (SPES)/ (SPVs)?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>D.2</th>
<th>Quality of Annual Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the company’s annual report disclose the following items:</td>
<td></td>
</tr>
<tr>
<td>-------</td>
<td>---------------------</td>
</tr>
<tr>
<td>D.2.5</td>
<td>Biographical details (at least age, academic qualifications, date of first appointment, relevant experience, and any other directorships of listed companies) of all directors/commissioners</td>
</tr>
<tr>
<td>D.2.6</td>
<td>Attendance details of each director/commissioner in all directors/commissioners meetings held during the year</td>
</tr>
<tr>
<td>D.2.7</td>
<td>Total remuneration of each member of the board of directors/commissioners</td>
</tr>
</tbody>
</table>

**Corporate Governance Confirmation Statement**

<table>
<thead>
<tr>
<th>D.2.8</th>
<th>Does the Annual Report contain a statement confirming the company’s full compliance with the code of corporate governance and where there is non-compliance, identify and explain reasons for each such issue?</th>
<th>In Annual Report 2019, page 638-640, in section Corporate Governance for Public Companies</th>
</tr>
</thead>
<tbody>
<tr>
<td>D.3.</td>
<td>Disclosure of related party transactions (RPT)</td>
<td></td>
</tr>
<tr>
<td>------</td>
<td>-----------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>D.3.1</td>
<td>Does the company disclose its policy covering the review and approval of material RPTs?</td>
<td></td>
</tr>
</tbody>
</table>

In Annual Report 2019, page 835, in section Consolidated Financial Statements, which mentioned: *The related party transactions primarily related to the lending and borrowing of funds in the normal course of their business. There is no significant difference of terms of business compared with third party transactions unless otherwise stated in the consolidated financial statements.*

In Board Manual, which mentioned: *In performing the supervisory function, the Board of Commissioners is prohibited from being involved in a decision making related to Company's operational activities, except provision of funds to related parties as stipulated in Otoritas Jasa Keuangan/Bank Indonesia regulation concerning Commercial Bank Legal Lending Limit; and other matters as stipulated in the Company's Articles of Association or prevailing laws and regulations.*

With regard to Affiliated Transaction, review and approval process by the Board of Commissioners and the Board of Directors are based on the discussion in the Board of Commissioners and the Board of Directors meetings or based on circular resolutions. Further, there will be statement in the Information Disclosure.

For example, in the Information Disclosure to Public In Relation to the Affiliated Transaction (May 2018), it mentioned as follows: *The Board of Commissioners and The Board of Directors confirm that after taking adequate review, as far as their knowledge, all important and relevant information and facts have been disclosed, and material information or fact in the Information Disclosure are true and/or not misleading.*
### D.3.2 Does the company disclose the name, relationship, nature and value for each material RPTs?

- In Annual Report 2019, page 298-300, in section Information Regarding Material Transactions Containing Conflict of Interest and/or Transaction with Affiliate Parties/Related Parties

### D.4 Directors and commissioners dealings in shares of the company

#### D.4.1 Does the company disclose trading in the company’s shares by insiders?

- In Annual Report 2019 page 108-109, in section Board of Commissioners and Board of Directors as PermataBank Shareholders
- Policy on Trading of Shares of PermataBank by Members of the BOC and BOD
  - [https://www.permatabank.com/Kebijakan-Terkait-Tata-Keiola/](https://www.permatabank.com/Kebijakan-Terkait-Tata-Keiola/)

### D.5 External auditor and Auditor Report

#### D.5.1 Are the audit and non-audit fees disclosed?

- In Annual Report 2019 page 603-604, in section External Audit and Other Services

#### D.5.2 Does the non-audit fee exceed the audit fees?

- In Annual Report 2019 page 603-604, in section External Audit and Other Services

### D.6 Medium of communications

#### D.6.1 Quarterly reporting

- In PermataBank website, in section Quarterly Financial Report
<table>
<thead>
<tr>
<th>D.6.2</th>
<th>Company website</th>
<th>In PermataBank website</th>
<th><a href="https://www.permatabank.com/">https://www.permatabank.com/</a></th>
</tr>
</thead>
<tbody>
<tr>
<td>D.7</td>
<td><strong>Timely filing/release of annual/financial reports</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D.7.1</td>
<td>Are the audited annual financial report / statement released within 120 days from the financial year end?</td>
<td>PermataBank has published audited financial report as of 31 December 2019 in the newspaper on February 2020.</td>
<td><a href="https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Laporan-Keuangan">https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Laporan-Keuangan</a></td>
</tr>
<tr>
<td>D.8</td>
<td><strong>Company website</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D.8.2</td>
<td>Materials provided in briefings to analysts and media</td>
<td>In PermataBank website</td>
<td></td>
</tr>
<tr>
<td>D.8.4</td>
<td>Notice of AGM and/or EGM</td>
<td>In PermataBank website: <a href="https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Annual-Report">https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Annual-Report</a></td>
<td></td>
</tr>
<tr>
<td>D.8.5</td>
<td>Minutes of AGM and/or EGM</td>
<td>In PermataBank website: <a href="https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/General-Meeting-of-Shareholders">https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/General-Meeting-of-Shareholders</a></td>
<td></td>
</tr>
<tr>
<td>D.8.6</td>
<td>Company’s constitution (company’s by-laws, memorandum and articles of association)</td>
<td>In PermataBank website: <a href="https://www.permatabank.com/sites/default/files/Articles%20of%20Association%20PermataBank%20%28English%20vers%29.pdf">https://www.permatabank.com/sites/default/files/Articles%20of%20Association%20PermataBank%20%28English%20vers%29.pdf</a></td>
<td></td>
</tr>
<tr>
<td>D.9</td>
<td>Investor relations</td>
<td>In Annual Report 2019 page 57, in section head office address, which include telephone, fax, email, website. <a href="https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Annual-Report">https://www.permatabank.com/id/tentang-kami/hubungan-investor#!/Annual-Report</a></td>
<td></td>
</tr>
</tbody>
</table>